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**Attorney Docket No: TRCX-005/02US** (300717-2021)



#### **DECLARATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# SYSTEM AND METHOD FOR CONTINUOUS ONLINE SAFETY AND RELIABILITY MONITORING

he specification of which:
(check one)
[] is attached hereto;
[x] was filed as United States Application Serial No. 10/716,193 on November 17, 2003
[] was filed as PCT International Application No on and was amended under PC Article 19 or Article 34 on (if applicable);
I have reviewed and understand the contents of the above-identified specification including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information which is known to me to be material to the patentability of said invention in accordance with 37 C.F.R. §1.56;

I hereby claim foreign priority benefits under 35 U.S.C. §119 and/or §365 of any foreign application(s) for patent, any foreign application(s) for inventor's certificate, or any PCT international application(s) designating at least one country other than the United States of America listed below; I have also identified below any foreign application(s) for patent, any foreign application(s) for inventor's certificate, or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

#### Prior Foreign Application(s)

COUNTRY/INTERNATIONAL	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED		
			[] YES [] NO		
			[] YES [] NO		

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/491,999	August 1, 2003	
(Application Number)	(Filing Date) (day, month, year)	_
,		
(Application Number)	(Filing Date) (day, month, year)	_

I hereby claim the benefit under 35 U.S.C. §120 and/or §365 of any United States application(s) or of any international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

Prior U.S. Application(s) or PCT International Applications Designating the U.S. for benefit under 35 U.S.C. §120

U.S. APPLICATIONS				ST	ATUS (chec	ck one)
U.S. APPLICATION	I NO.	U.S. FILING	G DATE (day, month, year)	Pending	Patented	Abandoned
				[]	[]	[]
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			NG THE U.S.			
PCT APPLICATION NO.		LING DATE month, year)	U.S. APPLICATION NOS. (if any)			
				[]	[]	[]
				[]		[]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of	f first inventor:	Paul J. Van Dyk		
Inventor's si	gnature	2/	Date 17 11/18	RCH 2004
	12 Waitara Avenue			/
	Keysborough,			
	Victoria, 3173			
	Australia			
Citizen of:	Australia			
Post Office A	Address: <u>same as abov</u>	<u>/e</u>		
Full name o	f second inventor:	Robert S. Adamski		
Inventor's si	gnature		Date	
	440 Igoti Lane			
	Loudon, TN 37774			
	USA			
	<u>USA</u>			
Post Office	Address: same as above	ve		
Full name o	of third inventor:	Les Powers	•	
Inventor's s	ignature		Date	
	3722 Hamilton	<del></del>		
Accordance.	Irvine CA 92614			
	USA			
Citizen of:	USA			
Post Office	Address: same as abo	ve		
Full name o	of fourth inventor:	Robin McCrea-Ste	eele	
T	:		Data	
inventor's s	ignature 25592 Willow Bend		Date	
Residence:	Lake Forest, CA 926			
	USA	0.5.0		
	USA			
Post Office	Address: same as abo	ve		

Full name of	first inventor:	Paul J. Van Dyk	
			Date
Inventor's sig	nature		
Residence:	12 Waitara Avenue		
	Keysborough,		
	Victoria, 3173		
	Australia		
Citizen of:	Australia		
Post Office A	ddress: same as abo	ve	
10000			
	f second inventor:		Date 2-26-04
Inventor's si	gnature ( )	Mampa.	Date $\alpha - \alpha 6 - 0 \Gamma$
Residence:	440 Igoti Lane	•	
	Loudon, TN 37774		
	USA		
Citizen of:	<u>USA</u> Address: <u>same as ab</u>	orio .	
Post Office	Address: <u>same as au</u>	<u>ove</u>	
Full name o	of third inventor:	Les Powers	
Terrentor <sup>3</sup> C C	ignature		Date
Desigence:	3722 Hamilton		
Kesideiko.	Irvine CA 92614		
	USA		
	052		
Citizen of:	USA		
Post Office	Address: same as a	bove_	
Full name	of fourth inventor:	Robin McCrea-S	teele
-	-! adressa		Date
inventor's	signature	nd	
Kesidence:	Lake Forest, CA 9	22630	
		/ <u>2</u> 030	
	USA		
Citizen of:	USA		
	Address: same as a	bove	
LOSI OTHER	1 1001 000 - Daniel 10 100 1	<del></del>	

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Full name of first inventor:	Paul J. Van Dyk
Inventor's signature Residence: 12 Waitara Avenue Keysborough, Victoria, 3173 Australia	Date
Citizen of: Australia Post Office Address: same as abov	<u>e</u>
Full name of second inventor:	Robert S. Adamski
Inventor's signature Residence: 440 Igoti Lane Loudon, TN 37774 USA	Date
Citizen of: USA Post Office Address: same as abov	<u>e</u>
Full name of third inventor:	Leslie V. Powers
Inventor's signature 2010 V Residence: 3722 Hamilton Irvine CA 92614 USA	Powers Date 4/9/2004
Citizen of: USA Post Office Address: same as abov	<u>e</u>
Full name of fourth inventor:	Robin McCrea-Steele
Inventor's signature Residence: 25592 Willow Bend Lake Forest, CA 9263 USA	Date
Citizen of: <u>USA</u> Post Office Address: same as abov	<u></u> e

Full name of	first inventor:	Paul J. Van Dyk	
Inventor's sig	gnature		Date
Residence	12 Waitara Avenue		
Residence.	Keysborough,		
	Victoria, 3173		
	Australia		
Citizen of:	Australia		
Post Office A	Address: <u>same as abo</u>	<u>ve</u>	
Full name o	f second inventor:	Robert S. Adamski	i e
Inventor's si	gnature		Date
Residence:	gnature 440 Igoti Lane		
	Loudon, TN 37774		
	USA		·
giri	TICA		
	<u>USA</u> Address: <u>same as abo</u>	NIO.	
Post Office I	Address. <u>Same as acc</u>	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Full name o	of third inventor:	Les Powers	· ·
Inventor's si	ignature		Date
Residence:	3722 Hamilton		
	Irvine CA 92614		
	USA		
Citizen of:	USA		
	Address: same as abo		
Full name	of fourth inventor:	Robin McCrea-Sto	eele
_	Uns	Tionbe	Date 3-18-04
Inventor's s		1	Date 3-18-04
Residence:	25592 Willow Bene		
	Lake Forest, CA 92	030	
	USA		
Citizen of:	USA		
Post Office	Address: same as ab	ove	

Date 3/10/04/

Full name of fifth inventor:

**David Barron** 

Inventor's signature \_

Residence:

201 Wilshire Drive

Sharon, MA 02067

USA

Citizen of:

USA

Post Office Address: same as above

(300171-2021)

**PATENT** 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Paul J. VAN DYK et al.

Examiner:

Unknown

Serial No.:

10/716,193

Art Unit:

2862

Filed:

November 17, 2003

Confirmation No.: 4129

For:

SYSTEM AND METHOD FOR CONTINUOUS ONLINE SAFETY AND

**RELIABILITY MONITORING** 

Mail Stop Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## POWER BY ASSIGNEE AND STATEMENT UNDER 37 C.F.R. §3.73(b)

The Assignee of the entire right, title, and interest in the above-identified application hereby grants the registered practitioners of Cooley Godward LLP included in the Customer Number provided below power to act, prosecute, and transact all business in the U.S. Patent and Trademark Office in connection with this application, any applications claiming priority to this application, and any patents issuing therefrom.

The Assignee certifies that to the best of its knowledge and belief it is the owner of the entire right, title, and interest in and to the above-identified application as evidenced by:

[x]	] A	An assi	gnment	document	, a	copy o	f w	hich	ı is	enc	losed	here	with	1;
-----	-----	---------	--------	----------	-----	--------	-----	------	------	-----	-------	------	------	----

[]	An assignment previously recorded in the U.S. Patent and Trademark Office at
	Reel, Frame

Please direct all telephone calls and correspondence to:

COOLEY GODWARD LLP ATTN: Patent Group Five Palo Alto Square 3000 El Camino Real Palo Alto, CA 94306-2155 Tel: (650) 843-5000

Fax: (650) 843-5000

**CUSTOMER NUMBER:** 

23419

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the Assignee.

Date: 11 Dec 03

Signature:

Name: Jules Jay Morris

Title:

Vice-President and Chief IP Counsel for Invensys plc and Designated by the Secretary of Triconex to sign on its behalf

#### **ASSIGNMENT**



Paul J. Van Dyk, residing at 12 Waitara Avenue, Keysborough, Victoria, 3173 Australia; Robert S. Adamski, 440 Igoti Lane, Loudon, TN 37774, USA; Leslie V. Powers, residing at 3722 Hamilton, Irvine, CA, USA; and Robin McCrea-Steel, residing at 25592 Willow Bend, Lake Forest, CA, USA David Barron, residing at 201 Wilshire Drive, Sharon, MA 02067

(each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent of the United States, entitled SYSTEM AND METHOD FOR CONTINUOUS ONLINE SAFETY AND RELIABILITY MONITORING, and which is a:

F 3				
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- [] to be filed herewith; or (a)
- [] bearing Application No. (b) , and filed on ; or
- [x] non-provisional application (1)
  - [] to be filed herewith; or (a)
  - [x] bearing Application No.10/716,193, and filed on (b) November 18, 2003.

WHEREAS, Invensys Systems, Inc., a corporation duly organized under and pursuant to the laws of Massachusetts, and having its principal place of business at 33 Commercial Street, B52-IJ, Foxboro, MA 02035 (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application for patent identified in paragraph (1) or (2); the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to these application(s); any provisional or other right to recover damages, including royalties, for prior infringements of these applications; and any patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application for patent identified in paragraph (1) or (2);

- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Paris Convention for the Protection of Industrial Property and under the Patent Cooperation Treaty;
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to the application for patent identified in paragraph (1) or (2) or any application(s) for patent claiming the Invention(s), including any division(s), continuation(s), and continuation(s)-in-part; and
- (f) any provisional or other right to recover damages, including royalties, for prior infringements of any application for patent identified in the proceeding paragraphs (b)-(e); and
- (g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b) (e), including any reissue(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said applications, and said patents, including interference proceedings, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY GODWARD L.L.P. to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application identified in paragraph (1) or (2) when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date: 19 Feb 04	By: Paul J. Van Dyk
State of	
County of)	
satisfactory evidence, to be the person(s) was acknowledged to me that he/she/they exerthat by his/her/their signature(s) on the insperson(s) acted, executed the instrument.	re me,, personally ersonally known to me or proved to me on the basis of whose name(s) is/are subscribed to the within instrument and cuted the same in his/her/their authorized capacity(ies), and strument the person(s), or the entity upon behalf of which the
WITNESS my hand and official seal.	
Signature of Notary Public	Place Notary Seal Above
Date:	By:
	Robert S. Adamski
State of	
County of	
satisfactory evidence, to be the person(s) acknowledged to me that he/she/they exe	personally known to me or proved to me on the basis of whose name(s) is/are subscribed to the within instrument and excuted the same in his/her/their authorized capacity(ies), and strument the person(s), or the entity upon behalf of which the
Signature of Notary Public	Place Notary Seal Above

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date:	By:
	Paul J. Van Dyk
State of	
acknowledged to me that he/she/they executed the	known to me or proved to me on the basis of me(s) is/are subscribed to the within instrument and e same in his/her/their authorized capacity(ies), and the person(s), or the entity upon behalf of which the
WITNESS my hand and official seal.	
Signature of Notary Public	Place Notary Seal Above
Date:	By: Robert S. Adamski
acknowledged to me that he/she/they executed the that by his/her/their signature(s) on the instrument person(s) acted, executed the instrument.	About P. Cook  Note of P. Cook  About 5 Adamsto, personally  y known to me or proved to me on the basis of ame(s) is/are subscribed to the within instrument and e same in his/her/their authorized capacity(ies), and the person(s), or the entity upon behalf of which the
WITNESS my hand and official seal.    Cook	11-12-2005 Place Notary Seal Above

Date: $2-5-2004$	By: Leslie V. Powers
0.70	Leslie V. Powers
State of California	
County of Ormae ss.	
On February 5, 2004, before me, appeared Les V. Powers, personall satisfactory evidence, to be the person(s) whose n acknowledged to me that he/ske/they executed the	y known to me or proved to me on the basis of ame(s) is/are subscribed to the within instrument and e same in his/ker/their authorized capacity(ies), and the person(s) on the entity upon behalf of which the LORD. FASTURN Commission # 142234* Notary Packe - Collomb County My Comm. Express An 8, 2007
Signature of Notary Public	Place Notary Seal Above
Date:	Rv
Date:	By:Robin McCrea-Steele
State of)	
State of	

Date:		By:	
			Leslie Powers
State of	$\overline{}$		
County of	ss. )		
satisfactory evidence, to be the pe acknowledged to me that he/she/	rson(s) whos hey executed in the instrumum ument.	se name(s) is/a d the same in	to me or proved to me on the basis of are subscribed to the within instrument and his/her/their authorized capacity(ies), and on(s), or the entity upon behalf of which the
Signature of Notary Public			Place Notary Seal Above
1-5-04 Pate: NAMA	ind	Ву:	Robin McCrea-Steele
State of California.  County of Orange	ss.	,,,,,,	
satisfactory evidence, to be the p acknowledged to me that he/she	person(4) whose the execute on the instrument.	onally known se name(s) is/ ed the same in	n to me or proved to me on the basis of lare subscribed to the within instrument and on his/hgr/th/gr authorized capacity(is/s), and on(g), or the entity upon behalf of which the

Rev 5/17/2002

Date: 12/19/03

By: David Barron

State of Marschurtty

County of Narfalls

On December 19 2003, before me, Tanis C. Sauyer, personally appeared David Barron, personally known to me or proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Attorney Docket No. TRCX-005/02US

Place Notary Seal Above